

# Exercises on Proof Complexity

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A *Cook–Reckhow proof system* for a language  $L$  is a polynomial-time function  $P$  such that

1. For every  $x \in L$ , there exists a  $\pi$  such that  $P(\pi) = x$ ; we call  $\pi$  a “ $P$ -proof” for  $x$  (or a  $P$ -proof that  $x$  is in  $L$ )
2. For every string  $\pi$ ,  $P(\pi) \in L$ .

A proof system  $P$  is called polynomially bounded or p-bounded if for every  $x$  there exists a  $P$ -proof  $\pi$  for  $x$  such that  $|\pi| \leq \text{poly}(|x|)$ .

1. Prove that for any language  $L$ ,  $L$  has a p-bounded Cook–Reckhow proof system iff  $L \in \text{NP}$ .
2. Let UNSAT denote the set of Boolean formulas that are unsatisfiable.
  - (a) Show that UNSAT is coNP-complete. *Hint:* What is the complement of UNSAT?
  - (b) Show that there is a p-bounded proof system for UNSAT iff  $\text{NP} = \text{coNP}$ .
3. When we think of GRAPH ISOMORPHISM as a language, we consider it as the set of pairs  $\{(G, H) : G \text{ is isomorphic to } H\}$ .
  - (a) Give a p-bounded Cook–Reckhow proof system for GI.
  - (b) The  $k$ -dimensional Weisfeiler–Leman procedure ( $k$ -WL) to show two graphs  $G, H$  are non-isomorphic works as follows. It will iteratively color the  $k$ -tuples of vertices of  $G$  and  $H$  as follows. Two  $k$ -tuples  $(u_1, \dots, u_k)$  and  $(v_1, \dots, v_k)$  initially receive the same color iff  $u_i = u_j \Leftrightarrow v_i = v_j$  for all  $i \neq j$ , and if the map  $u_i \mapsto v_i$

induces an isomorphism on the corresponding induced subgraphs. At each iteration, the colors are refined similar to 2-WL: the new color of  $(v_1, \dots, v_k)$  consists of the tuple

$$(c_{t-1}, M_1, \dots, M_k)$$

where  $c_{t-1}$  is the color of  $(v_1, \dots, v_k)$  at the previous time step  $t - 1$ , and  $M_i$  is the multiset of colors of tuples of the form  $(v_1, v_2, \dots, v_{i-1}, *, v_{i+1}, \dots, v_k)$ .  $k$ -WL distinguishes  $G$  from  $H$  if at any point in this process, the multiset of all colors appearing in  $G$  differs from that in  $H$ . (The process stops when the partition of  $G^k$  is no longer refined.)

Reformulate Weisfeler–Leman (of arbitrary dimension) as a Cook–Reckhow proof system for COGI aka GRAPH NON-ISOMORPHISM. Is it p-bounded?

4. Unsatisfiable formulas are also known as contradictions. Prove that any contradiction  $\varphi$  has a resolution refutation. Given an upper bound on the size of this refutation.
5. Show that unsatisfiable 2-CNF formulas variables have resolution refutations of polynomial size.
6. Show that resolution is p-simulated by sequent calculus where all cuts are on individual variables.

## Resources

- Paul Beame lecture notes (notes by Ashish Sabharwal)
- Beame–Pitassi Bull. EATCS survey
- Pitassi–Tzameret survey on algebraic proof complexity
- Razborov SIGACT News survey
- Razborov 2009 course
- Nate Segerlind 2007 Bull. Symb. Logic survey
- Krajicek book